

THE DISCOVERY OF INCOMMENSURABILITY BY HIPPASUS OF METAPONTUM*

By KURT VON FRITZ

(Received October 23, 1944)

The discovery of incommensurability is one of the most amazing and far-reaching accomplishments of early Greek mathematics. It is all the more amazing because, according to ancient tradition, the discovery was made at a time when Greek mathematical science was still in its infancy and apparently concerned with the most elementary, or, as many modern mathematicians are inclined to say, most trivial, problems, while at the same time, as recent discoveries have shown, the Egyptians and Babylonians had already elaborated very highly developed and complicated methods for the solution of mathematical problems of a higher order, and yet, as far as we can see, never even suspected the existence of the problem.

No wonder, therefore, that modern historians of mathematics have been inclined to disbelieve the ancient tradition which dates the discovery in the middle of the 5th century B.C.,¹ and that there has been a strong tendency to date the event much later, even as late as the first quarter of the 4th century.² But the question can hardly be decided on the basis of general considerations. It is the purpose of this paper to prove: 1) that the early Greek tradition which places the second stage of the development of the theory of incommensurability in the last quarter of the 5th century, and therefore implies that the first discovery itself was made still earlier is of such a nature that its authenticity can hardly be doubted, 2) that this tradition is strongly supported by indirect evidence, 3) that the discovery can have been made on the 'elementary' level which, even

* This article owes much to discussions of the early history of Greek mathematics which were carried on more than ten years ago between the author and Professor S. Bochner, now of Princeton University. This does not mean, of course, that Dr. Bochner has any part in whatever deficiencies the present article may have.

¹ This tradition will be discussed below, pp. 244 ff.

² The first to make an attempt to show that the discovery of incommensurability was 'late,' and certainly later than ancient tradition indicates, was Erich Frank in his book on *Platon und die sogenannten Pythagoreer* (Halle, Max Niemeyer, 1923). He does not commit himself to a definite date, but contends that the discovery cannot have been made before the last years of the 5th century (p. 228 ff.). O. Neugebauer, the most outstanding living authority on the earliest history of mathematics, goes even farther. In a letter to the author of the present paper he expressed the opinion that the discovery could not have been made before Archytas of Tarentum. Since Archytas was head of the government of Tarentum in 362 B.C., this seems to indicate that in his opinion the discovery was not made before the early 4th century at the earliest. It was also he who based his opinion on the 'trivial' character of 5th century Greek mathematics. In the present paper an attempt will be made to show that Greek mathematics in that period was in fact very elementary in many respects when compared with contemporary or earlier Babylonian and Egyptian mathematics, but by no means 'trivial.'

217
Fritz,
1945

THE DISCOVERY OF INCOMMENSURABILITY BY HIPPOSUS OF METAPONTUM*

By KURT VON FRITZ

(Received October 23, 1944)

The discovery of incommensurability is one of the most amazing and far-reaching accomplishments of early Greek mathematics. It is all the more amazing because, according to ancient tradition, the discovery was made at a time when Greek mathematical science was still in its infancy and apparently concerned with the most elementary, or, as many modern mathematicians are inclined to say, most trivial, problems, while at the same time, as recent discoveries have shown, the Egyptians and Babylonians had already elaborated very highly developed and complicated methods for the solution of mathematical problems of a higher order, and yet, as far as we can see, never even suspected the existence of the problem.

No wonder, therefore, that modern historians of mathematics have been inclined to disbelieve the ancient tradition which dates the discovery in the middle of the 5th century B.C.,¹ and that there has been a strong tendency to date the event much later, even as late as the first quarter of the 4th century.² But the question can hardly be decided on the basis of general considerations. It is the purpose of this paper to prove: 1) that the early Greek tradition which places the second stage of the development of the theory of incommensurability in the last quarter of the 5th century, and therefore implies that the first discovery itself was made still earlier is of such a nature that its authenticity can hardly be doubted, 2) that this tradition is strongly supported by indirect evidence, 3) that the discovery can have been made on the 'elementary' level which, even

* This article owes much to discussions of the early history of Greek mathematics which were carried on more than ten years ago between the author and Professor S. Bochner, now of Princeton University. This does not mean, of course, that Dr. Bochner has any part in whatever deficiencies the present article may have.

¹ This tradition will be discussed below, pp. 244 ff.

² The first to make an attempt to show that the discovery of incommensurability was 'late,' and certainly later than ancient tradition indicates, was Erich Frank in his book on *Platon und die sogenannten Pythagoreer* (Halle, Max Niemeyer, 1923). He does not commit himself to a definite date, but contends that the discovery cannot have been made before the last years of the 5th century (p. 228 ff.). O. Neugebauer, the most outstanding living authority on the earliest history of mathematics, goes even farther. In a letter to the author of the present paper he expressed the opinion that the discovery could not have been made before Archytas of Tarentum. Since Archytas was head of the government of Tarentum in 362 B.C., this seems to indicate that in his opinion the discovery was not made before the early 4th century at the earliest. It was also he who based his opinion on the 'trivial' character of 5th century Greek mathematics. In the present paper an attempt will be made to show that Greek mathematics in that period was in fact very elementary in many respects when compared with contemporary or earlier Babylonian and Egyptian mathematics, but by no means 'trivial.'

according to E. Frank and O. Neugebauer,³ Greek mathematics had reached in the middle of the 5th century, 4) that the character of scientific investigation as developed in the early part of the 5th century makes it not only possible but very probable that the discovery was made at the time in which the late ancient tradition places it, and 5) that this late tradition itself contains some hints as to the way in which the discovery, in all likelihood, actually was made.

The earliest precise and definite tradition concerning a phase in the development of the theory of incommensurability is found in Plato's dialogue *Theaetetus*, p. 147 B. This dialogue was written in the year 368/67 B.C., shortly after the death of the mathematician Theaetetus after a battle in which he had been fatally wounded.⁴ The fictive date of the dialogue is the year 399 B.C., that is, the year of the death of Socrates. In the first part of the dialogue the old mathematician Theodorus of Cyrene is represented as demonstrating to a group of young men, among them young Theaetetus, who is represented as a youngster of about seventeen, the irrationality of the square roots of 3, 5, 6, etc. up to 17. Though the dialogue itself is, of course, fictive, it seems hardly possible to assume that Plato, in a dialogue dedicated to the memory of a friend who has just died prematurely and who had had a very important part in the development of the theory of incommensurability and irrationality⁵ would have attributed to someone else what was really his friend's own accomplishment. The inevitable conclusion, therefore, is that what Theodorus demonstrates in the introduction to the dialogue was actually known when Theaetetus was a boy of seventeen.⁶

Theodorus of Cyrene is represented as an old man in Plato's dialogue. According to an extract from Eudemus' history of mathematics⁷ he was a contemporary of Hippocrates of Chios and belonged to the generation following that of Anaxagoras and preceding that of Plato. Since Anaxagoras was born in ca 500, and Plato in 428, this implies that Theodorus was born about 470 or 460, which agrees with Plato's statement that he was an old man in 399. Plato

³ See the preceding note.

⁴ This was proved by Eva Sachs in her dissertation *De Theaeteto mathematico* (Berlin, 1914). Her results in this respect seem absolutely certain and have been universally accepted.

⁵ For details see my article *Theaitetos* in Pauly-Wissowa, *Realencyclopädie*, vol. V A, p. 1351-72.

⁶ E. Frank (*op. cit.*, pp. 59, 228, and *passim*) and others have quoted a passage in Plato's *Laws* (p. 819c ff.) as a proof of their assumption that the discovery of incommensurability cannot have been made before the end of the fifth or the beginning of the fourth century. In this passage 'the old Athenian,' who is usually identified with Plato, says that he became acquainted with the discovery of incommensurability only late in his life and that it is a shame that 'all the Greeks' are still ignorant of the fact. It is quite clear that the latter statement is a rhetorical exaggeration since 'all the Greeks,' if taken literally, would include the Athenian himself, who by now obviously does know. The passage then proves nothing but that even striking mathematical discoveries in the fifth century did not become known to the general educated public. But this is also true of the fourth and third centuries.

⁷ In Proclus' commentary to Euclid's *Elements*, p. 66 Friedlein.

does not say that what Theodorus demonstrated to Theaetetus and the other youngsters in 399 was at that time an entirely new discovery, though the fact that he gave a proof for each one of the different cases separately shows that the theory had not yet reached a more advanced stage.⁸ But even if we assume that Theodorus' demonstrations had been worked out for the first time not so very long before, Plato's dialogue would still indicate that the irrationality of the square root of 2, or the incommensurability of the side and diameter of a square had been discovered by someone else. For it is difficult to see why he should have made Theodorus start with the square root of 3, unless he wished to give an historical hint that this was the point where Theodorus' own contribution to mathematical theory began. This in itself then would be quite sufficient to show that the discovery of incommensurability must have been made in the earlier part of the last quarter of the 5th century at the very latest, and since mathematical knowledge at that time traveled very slowly, may very well have been made earlier.⁹

What can be inferred from Plato's dialogue *Theaetetus* receives strong confirmation from indirect evidence which has been presented by H. Hasse and H. Scholz.¹⁰ It is perhaps not necessary to accept their interpretation of the doctrines of Zenon of Elea in every detail. But there can hardly be any doubt that they have proved conclusively that there must have been a connection between some of Zeno's famous arguments against motion, and the discovery of incommensurability.¹¹ Since Zenon was born not later than 490 B.C., acceptance of the results of the treatise quoted would lead to the conclusion that the discovery of incommensurability must have been made not later than the middle of the 5th century, which is also the date indicated by ancient tradition.

In contrast to the tradition concerning the second phase of the development of the theory of incommensurability the tradition concerning the first discovery itself has been preserved only in the works of very late authors, and is frequently connected with stories of obviously legendary character.¹² But the tradition is

⁸ Concerning the probable steps from the first discovery to the theory of Theodorus, see *infra* pp. 254 ff.

⁹ See note 6.

¹⁰ H. Hasse and H. Scholz, *Die Grundlagenkrise der griechischen Mathematik*, Charlottenburg, Kurt Metzner, 1928, pp. 10 ff.

¹¹ In contrast to this, E. Frank (*op. cit.*, pp. 219 ff.) has contended that the mathematical philosophy of the Pythagoreans which *preceded* the discovery of incommensurability presupposes the atomistic theory of Democritus and a fully developed theory of 'the subjectivity of sensual qualities.' The analysis of the early form of Pythagorean philosophy attempted below will, I hope, show that it has nothing whatever to do with Democritus' atomism, and is certainly no more dependent on a fully developed theory of the subjectivity of sensual qualities than the philosophy of Parmenides, who was born at least 60 years earlier than Democritus.

¹² For instance, the story told by Iamblichus, that he was drowned in the sea, and that this was a divine punishment for his having made public the secret mathematical doctrines of the Pythagoreans.

unanimous¹³ in attributing the discovery to a Pythagorean philosopher by the name of Hippasus of Metapontum.

Ancient tradition concerning the life and chronology of Hippasus is scanty. Iamblichus in his treatise *de communi mathematica scientia*¹⁴ says that early Greek mathematical science made great progress through the work of Hippocrates of Chios and Theodorus of Cyrene, who followed upon Hippasus of Metapontum. Since Hippocrates and Theodorus are also mentioned together in the extract from the history of mathematics of Eudemus of Rhodes,¹⁵ it seems likely that Iamblichus' note also goes back to the very reliable work of this disciple of Aristotle. According to this work Hippasus belonged to the generation preceding that of Theodorus (according to ancient usage this means an average difference of age of about 30–40 years), who in his turn was a contemporary of Hippocrates of Chios.

According to Iamblichus' Life of *Pythagoras*,¹⁶ Hippasus had an important part in the political disturbances in which the Pythagorean order became involved in the second quarter of the 5th century, and which ended in the revolt of ca 445, which put an end to Pythagorean domination in southern Italy.¹⁷ This agrees perfectly with the tradition which places him in the generation before Theodorus, who, as shown above, was born between 470 and 460. This confirmation is all the more valuable because the tradition of the political history of the Pythagoreans which was first collected by Aristoxenus of Tarentum and Timaeus of Tauromenium is, on the whole, quite independent from the ancient tradition of early Greek mathematics, which was first collected by Eudemus of Rhodes.

The mathematical achievements—apart from the discovery of incommensurability—asccribed to Hippasus by ancient tradition, are the following:

1. An anonymous scholion on Plato's *Phaedo*,¹⁸ quoting a work on music by Aristotle's disciple Aristoxenus, says that Hippasus performed an experiment with metal discs. He had four metal discs of equal diameter made in such a way that the second disc was $1\frac{1}{3}$ times as thick, the third $1\frac{1}{2}$ times as thick, and the fourth twice as thick as the first one. He then showed that by striking any two of them the same harmony of sounds would be produced as by two strings whose lengths were in the same proportion as the thicknesses of the discs. Theon

¹³ The one seeming deviation from the unanimous tradition in Proclus, *op. cit.* (see note 7), p. 67, is obviously due to a corrupt reading ($\alpha\lambda\omicron\gamma\omega\nu$ for $\alpha\nu\alpha\lambda\omicron\gamma\omega\nu$ or $\alpha\nu\alpha\lambda\omicron\gamma\iota\omega\nu$) in some manuscripts.

¹⁴ Iamblichus, *De communi mathematica scientia*, 25, p. 77 Festa.

¹⁵ See note 7.

¹⁶ Iamblichus, *De Vita Pythagorae*, 257, p. 138 f. Deubner.

¹⁷ For the date see K. von Fritz, *Pythagorean Politics in Southern Italy* (Columbia University Press, 1940), pp. 77 ff.

¹⁸ Schol. in Plat. *Phaed.* 108d; see *Scholias Platonica*, ed. W. Chase Greene (Philol. Monographs publ. by Am. Philol. Ass., vol. VIII, 1938), p. 15. All the passages quoted in notes 18–24 are also collected, though sometimes in a slightly abbreviated form, in H. Diels, *Vorsokratiker*, Vol. 1.

of Smyrna¹⁹ attributes to him a similar experiment with four tumblers, the first of which was left empty, while the others were filled $\frac{1}{4}$, $\frac{1}{3}$, and $\frac{1}{2}$ with water.

2. Boethius²⁰ attributes to him a theory of the musical scale showing how the different musical harmonies can mathematically be derived from one another.

3. Iamblichus²¹ says that Hippasus concerned himself with the theory of proportions and 'means' and was the first to change to 'harmonic mean' the name of what previously had been called the contrary, or, as some translate, the sub-contrary, mean, the formula of which is $\frac{a}{c} = \frac{a-b}{b-c}$. But Nicomachus attributes this change in terminology to Philolaus.

4. According to Iamblichus,²² Hippasus was also the first to draw or construct²³ the 'sphere consisting of 12 regular pentagons', or, as he says in another passage,²⁴ to inscribe the regular dodecahedron in a sphere and to make this construction public, which was considered a criminal divulcation of Pythagorean secret knowledge.

Of these four statements the first and fourth are of special importance and must be carefully analyzed, while the second and the third are of a certain importance for our problem mainly in connection with the first one.

In regard to Hippasus' experiments it seems relevant to point out that in the period in which Hippasus lived other Greek philosophers also conducted scientific experiments, while after that time, with one possible exception,²⁵ we do not again hear of scientific experiments until the third century. In fact, the philosopher to whom most of these experiments are attributed, Empedocles (ca 490 to ca 430 B.C.), was a native of Sicily, lived for some time in southern Italy, and though not a Pythagorean himself, was undoubtedly influenced by Pythagorean thought.

The experiments attributed to Empedocles are the following: 1) an experiment to show that drinkable water could be extracted from the sea, in order to show that fish did not 'feed on' salt water, but on sweet water which could be extracted from it;²⁶ 2) an experiment with small open vessels filled with water and swung around on a cord, in order to prove the existence of what we would call a centrifugal force, which in his opinion prevented the celestial bodies from falling to the earth;²⁷ 3) an experiment with pulverized ore of various kinds and colors,

¹⁹ Theo Smyrnaeus, *Expos. Rerum Mathem.*, p. 59 Hiller.

²⁰ Boethius, *De Institutione musica*, 11, 10.

²¹ Iamblichus, *In Nicomachi arithmet. introd.*, p. 109 Pistelli.

²² Iamblichus, *De communi mathem. scientia* 25 (p. 77 Festa) and *Vita Pythag.* 18, 88 (p. 52 Deubner).

²³ The Greek term *γράφειν* has both meanings.

²⁴ *Vita Pyth.* 34, 247 (p. 132 Deubner). The name of Hippasus is not mentioned in this passage, but since the same story is connected with the divulcation of the discovery as in the first passage, there can be no doubt that the reference is to Hippasus.

²⁵ See *infra*.

²⁶ Empedocles, fragm. A 66 in H. Diels, *Die Fragmente der Vorsokratiker*, vol. 1.

²⁷ *Ibid.*, A 67.

in order to show that the different elements when mixed in this way become inseparable, and their original qualities indistinguishable in the mixture;²⁸ 4) an experiment with a *clepsydra* or water-clock, in order to prove that seemingly completely empty vessels are actually filled with air.²⁹ This experiment and a similar one with leather bags is also attributed to Anaxagoras³⁰ (born in ca 500 B.C.).

The one possible exception to the statement that the known scientific experiments of the Greeks belong to the fifth and third (and later) centuries, but not to the fourth, is found in a passage from a work of Archytas, quoted literally by Nicomachus and Porphyrius.³¹ In this fragment Archytas propounds the theory that sound is produced by a concussion of the air, that the pitch of the sound depends on and is proportional to the velocity of the motion producing it, and that if the velocities producing two sounds are in certain simple numerical ratios, well known musical harmonies result. The arguments by which these theories are supported are based on observations which *can* be made in everyday life, and without experimentation; but the way in which the observations are introduced strongly suggests that, though originally they may have been made incidentally, they were at least checked by being repeated in an experimental fashion. Archytas, however, does not claim to be the author of these theories and to have made personally the observations or experiments from which they are derived, but attributes them to mathematicians whose names he does not give. At the same time it is obvious that these theories and observations represent an advanced stage of scientific development as compared with the experiments of Hippasus and their results. For in the Archytas fragment Hippasus' demonstration of a way in which the same musical harmonies can be produced by any conceivable kind of sound-producing instrument is integrated with a general physical theory of sound. Since, on the other hand, both Hippasus and Archytas were Pythagoreans living in southern Italy, since Archytas, as shown above,³² belonged to the second generation after Hippasus, and since, nevertheless, Hippasus and Archytas are sometimes mentioned together in ancient tradition³³ as having contributed to the development of a physical theory of sound, there really seems to be no reason to doubt that there actually existed a scientific tradition in one branch of the Pythagorean school through which a theory of sound was gradually developed. Since, finally, the authenticity of the fragment from Archytas' *Har-*

²⁸ Ibid., A 34.

²⁹ Ibid., B 100. Here the description of the experiment is given in its original wording. Empedocles in fact does not describe it as an experiment made by himself, but as an illustrative analogy derived from the observation of a young girl playing with a water-clock. But this belongs to the poetical style, since Empedocles expounded all his philosophical and scientific theories in verse. The minute description of the process leaves no doubt whatever that Empedocles must have made the experiment himself.

³⁰ Anaxagoras, fragm A 68/69 in H. Diels, *op. cit.*

³¹ Archytas, fragm. B 1 (Diels, *op. cit.*).

³² See *supra* p. 245 and note 2.

³³ For instance, Iamblichus, in *Nicom. arithm. intr.*, p. 109 Pistelli.

monikos can hardly be doubted, and as far as I can see never has been doubted, and since he clearly implies that the theory of sound had reached a rather advanced stage before he himself began to contribute to it, it is difficult to see how some scholars³⁴ could claim that ancient tradition projected into a much earlier time the accomplishments of a later period, when it attributed to Hippasus, a man belonging to the second generation before Archytas, the first beginnings of a theory which had reached a much more advanced stage before Archytas wrote his work.

Everything then seems to confirm the assumption that the experiments attributed to Hippasus by ancient tradition actually can have been made, and most probably were made, in Southern Italy in the middle of the fifth century, that is, when Hippasus is supposed to have lived in that region. To that extent, at least, the late tradition, which according to E. Frank and others, is of no value whatever, seems to be vindicated.

But what can Hippasus' experiments with discs and tumblers possibly have to do with the discovery of incommensurability? In order to show the interconnection, which is, of course, very indirect, it will be necessary to make a further analysis of the purpose and meaning of these experiments.

All the experiments ascribed to philosophers of the fifth century, as their description clearly shows, were obviously undertaken not so much in order to find out something new, but rather in order to support and verify an already existing theory, for instance, that the fish do not consume salt water as such, but extract sweet water from it, that the celestial bodies do not remain in the sky because they are lighter than air, etc. The same is true of the experiments attributed to Hippasus. That certain musical harmonies would be produced if the lengths of two strings of the same kind were in certain ratios had always been known. It had also been known in regard to flutes. From this double knowledge, then, the general assumption was derived that it would be so in all cases. What Hippasus did was, in a way, nothing but a verification of this assumption by means of various sound-producing bodies which were not ordinarily used as musical instruments. But two things are significant. Strings have, so to speak, only one dimension. In regard to flutes, too, especially if the different tones are produced on the same flute, one will not always think of the other two dimensions. When Hippasus used tumblers and discs, however, he had to point out that the discs, for instance, must be equal in two dimensions and differ only in the third if the musical harmonies are to be produced, but that it did not matter whether the third dimension was what usually was called length or thickness. In this way, then, the result can be most clearly formulated, namely, that the musical harmonies are completely independent of the material of which the sound-producing body consists, and of the special quality or color of the tones produced, and that the production of these harmonies depends exclusively on simple one-dimensional numerical ratios. We hear then, further,³⁵

³⁴ See E. Frank, *op. cit.*, p. 69 and *passim*.

³⁵ See *supra*, p. 246, note 20.

that Hippasus was not content with having proved this point but also investigated the mathematical relations between the ratios producing the most outstanding harmonies and tried to derive them mathematically from one another.

As long as Hippasus remained within the limits of the theory of music, all this, of course, could not lead to the discovery of incommensurability. But there are strong indications that he and his associates did not confine themselves to this special field.

Aristotle very frequently mentions the Pythagoreans or so-called Pythagoreans, and attributes to them the doctrine that 'all things are number.'³⁶ According to E. Frank these so-called Pythagoreans are not Pythagoreans at all,³⁷ but contemporaries of Plato who were deeply influenced by his philosophy.³⁸ If this were so it would be difficult to see why Aristotle, who should have known, never says a word about it, and always seems to imply that Plato's theory of numbers is later. It would also be possible to show that the comparatively very primitive Pythagorean theory cannot possibly be later than Plato's very complicated one. But this would require an analysis of considerable length, which fortunately is not necessary for the present purpose, since there is more direct evidence to show that there must have been Pythagoreans in the fifth century who had a doctrine similar to that ascribed to them by Aristotle.

Archytas in the long fragment quoted above³⁹ says that the same men who elaborated a theory of sound had also attained 'clear insight' into problems of astronomy, geometry, and arithmetic. Again, of course, he refers to what others had done before he wrote his work. Unfortunately, the passages in which he described the achievements of his predecessors in astronomy and geometry have not come down to us. But since he speaks of the clear insight which they had attained, it is not likely that it was only in music that they had arrived at a stage so advanced that it must have required a considerable time to attain it. Moreover, Archytas says that the sciences mentioned are intimately related to one another because all of them 'turn back' to 'the first (or fundamental) form of everything that is'. This seems a very advanced form of the doctrine which

³⁶ The doctrine is expressed and explained in a great many different ways by Aristotle; for instance, that 'the elements of numbers are the elements of all things' (Metaph. 986a, 1 ff.), or that 'all things are composed of numbers' (ibid. 1080b, 16 f.), or that 'the things themselves are numbers' (ibid., 987b, 29 f.), or that 'number is the essence of everything' (ibid., 987a, 19). But the last expression uses specific Aristotelian terminology and is obviously an attempt to explain what appeared too odd in its original wording.

³⁷ *Op. cit.*, p. 68 ff.

³⁸ E. Frank lays great stress on the fact that Aristotle speaks often, though not in the majority of cases, of the 'so-called' Pythagoreans, and infers from this that he meant that they were not really Pythagoreans. In fact, there was an excellent reason for the use of the word 'so-called,' namely, that in Aristotle's time 'Pythagoreans' was the only name designating the adherents of a philosophical school or sect that was derived from the name of the founder; that is, it was an unusual expression. Confirmation of this can also be found in the fact that the only analogy to the name 'Pythagoreans' found in pre-Aristotelian literature (Herakleiteans in Plato's Theaet. 179e) is obviously used in fun.

³⁹ See *supra* p. 247 and note 31.

Aristotle attributes to the 'so-called Pythagoreans'. Again, everything seems to indicate that the close connection between arithmetic, geometry, astronomy, and musical theory, as well as the somewhat crude theory that 'all things are numbers' must have been considerably older than Archytas, that is, at least as early as the middle of the fifth century.

In order to understand the origin and meaning of this latter doctrine, an analysis of the Greek terminology of the theory of proportions will be helpful. The Greek expression for proportion means literally 'the same ratio'. For our term 'ratio' the Greeks have two expressions: *diastema*, which means literally 'interval', and *logos*, which means literally 'word'. The first term clearly shows the connection of the early theory of proportions with musical theory.⁴⁰ But the second term is even more significant. The Greeks had two terms for 'word': *epos* and *logos*.⁴¹ *Epos* means the spoken word, or the word which appeals to the imagination and evokes a picture of things or events. This is the reason why it is also specifically applied to epic poetry. *Logos* designates the word or combination of words in as much as they convey a meaning or insight into something.⁴² It is this connotation of the term *logos* which made it possible for it in later times to acquire the meaning of an intrinsic law or the law governing the whole world.

If *logos*, then, is the term used for a mathematical ratio, this points to the idea that the ratio gives an insight into a thing or expresses its intrinsic nature. In the case of musical harmonies the harmony itself would be perceived by the ear, but it was the mathematical ratio which, in the mind of the Pythagoreans, seemed to reveal the nature of the harmony, because through it the harmony could be both defined and reproduced in different media.

It is easy to see how this general idea could be extended to astronomy, especially to the regular motions of the celestial bodies and the interrelations between their various cycles.⁴³ But it is the extension of the theory to geometry which is of special importance for our problem.

The mathematical theorem which is in tradition most closely connected with Pythagoras and the Pythagoreans, is the theorem that in a right-angled triangle the sum of the squares on the sides including the right angle is equal to the square

⁴⁰ This is also the case with the word *horos* designating the terms of a ratio or a proportion. See K. von Fritz, *Philosophie und sprachlicher Ausdruck bei Demokrit, Platon und Aristoteles* (New York, Stechert, 1938), p. 69.

⁴¹ As to the question of how early the term *logos* was used in the sense of ratio, see *infra* p. 261 f.

⁴² This is also characteristic of the corresponding verb *legein*. In consequence, the Greeks can form the following sentence: N. N. says (there follows a literal quotation of his words) saying (there follows an interpretation of their meaning). It is clear that 'saying' in this sentence really means 'meaning.' The verb *eipein*, which corresponds to *epos* cannot be used in the latter sense. It is also significant that those stories which Herodotus, for instance, calls *logoi* are always stories with a moral, that is, with a meaning.

⁴³ For details see my article on Oinopides of Chios in Pauly-Wissowa *Realencyclopädie*, vol. 17, p. 2260-67.

on the side subtending the right angle. Nobody who knows anything about the early history of Greek mathematics has ever doubted that the proof of this theorem given by Euclid in the first book of his *Elements* cannot have been found by Pythagoras or his early followers. This is also what the best ancient tradition says, since Proclus attributes this proof to Euclid himself.⁴⁴ Though at the time when, in the last quarter of the fifth century, Hippocrates of Chios elaborated his famous theory of the *lunulae*, the 'Pythagorean theorem' must have been considered valid for right-angled triangles whose sides are commensurable with one another and for triangles whose sides are incommensurable, and furthermore must have been extended to cover all similar figures erected on the sides of a right-angled triangle, it is not possible for us to find out exactly how the early Greek mathematicians proved or tried to prove the theorem in this general form, since there exists no tradition about it.⁴⁵ Fortunately, it is not necessary for our purpose to have this knowledge.

Again, the theory must have started from an observation which had been generally known long before the beginning of Greek philosophy, namely, that if one puts together three pieces of wood of the respective lengths of 3, 4, and 5, a right-angled triangle will result. In fact, this is an old form of a carpenter's square. Since the size of carpenter's squares was not standardized, it must also have been a matter of common knowledge that the absolute length of the sides of the triangle was irrelevant, and that all triangles whose sides were in that proportion were not only right-angled, but also 'similar' in shape. Finally, it seems to have been known of old that the sum of the squares of 3 and 4 was equal to the square of 5.

Even if we had no tradition about it we would have to conclude that the Pythagoreans must have been impressed by these facts as soon as they had begun to suspect that the nature of a good many things might be found in or expressed by numbers, especially since there is indirect evidence to show that even before Pythagoras the philosopher Thales (ca 620 to ca 540 B.C.) and his followers had concerned themselves with what we may call the ornamental shape of geometrical figures⁴⁶ and also seem to have connected this ornamental appearance especially with the angles. The fact, at least, that according to Proclus⁴⁷ they used the term 'similar angles' for what later was called 'equal' angles can hardly be explained otherwise.⁴⁸

On the basis of this earlier development the Pythagoreans can hardly have

⁴⁴ Proclus, *In primum Euclid. elem. librum Comment.*, p. 426 Friedlein.

⁴⁵ For the various possibilities see the lucid exposition of Th. Heath in his commentated translation of Euclid's *Elements* (Cambridge 1926), vol. 1, pp. 352 ff.

⁴⁶ For the evidence see Th. Heath, *A History of Greek Mathematics* (Oxford, 1921), vol. 1, pp. 130 ff.

⁴⁷ *Op. cit.*, p. 250 Friedlein.

⁴⁸ It is perhaps pertinent to observe that the historian Thucydides (I, 77) also uses the term 'similar' where he means equality of form (or in this case: procedure). For he uses the expression 'similar laws' where, as the context shows, he does not mean similar laws but what otherwise was called *isonomia* or equality before the law.

failed to notice that any two triangles will be similar in shape if their sides are in proportion, though in actual fact in the earliest period this knowledge can have been an exact knowledge only in regard to triangles whose sides are commensurable with one another. Though this assumption is not supported by any direct tradition—probably because it was too obvious to be especially mentioned—it follows not only from the general situation, but especially from the close analogy of the Pythagoreans' theory of music and their earliest theory of geometrical figures, which is attested everywhere. For just as they declared that the musical harmonies which are perceived by the ear 'are' really the numbers by which the proportionate lengths of the strings, etc., producing them are measured, so the geometrical figures, whose shape is perceived by the eye but cannot otherwise be either exactly determined or expressed in language, 'are' really the numbers or sets of numbers constituting the ratios of the lengths of their sides by which their shape is determined and can therefore be expressed.⁴⁹

According to ancient tradition, the theory, before the discovery of incommensurability, was further extended in two directions. Proclus⁵⁰ credits Pythagoras with a formula which makes it possible to form any number of different rational right-angled triangles by finding pairs of numbers the sum of the squares of which is equal to a square number.⁵¹ It is irrelevant for our purpose whether this formula is rightly attributed to Pythagoras personally, but one can safely assume that it belongs to the very oldest period of Pythagorean mathematics. For Proclus usually relies on the very excellent history of mathematics of Aristotle's disciple Eudemus of Rhodes; and in this case what he says seems all the more worthy of credit in that he does not claim too much and rather implies a criticism of the common tradition that Pythagoras 'proved' the 'Pythagorean theorem' in its general geometrical form.

Nevertheless, the formula marks a great advance. One has to interpret it in terms of Pythagorean philosophy in order to understand its importance in regard to our problem. In the theory discussed before, the shape of figures which are similar in the mathematical sense of the word is directly related to a definite set of integers. Two triangles, with the sides 3, 4, 5 and 8, 15, 17 respectively are not, on the other hand, *similar* in the sense of the (modern or Euclidean) mathematical term. But they are still 'similar' in regard to the ornamental element of one right angle; and this 'similarity' is not related to or expressed in one definite set of integers, but is related to the fact that the two

⁴⁹ The Pythagoreans were, of course, aware that triangles are the only rectilinear figures whose shape is definitely determined by the proportionate length of their sides. That they realized the importance of this fact for their theory seems proved by Theon's statement (*op. cit.*, pp. 40 ff.) that they divided all other rectilinear figures into triangles.

⁵⁰ *Op. cit.* (see note 44), p. 428 Friedlein.

⁵¹ The formula, though expressed in a somewhat more complicated way amounts to the statement that if m be any odd number,

$$m^2 + \left(\frac{m^2 - 1}{2}\right)^2 = \left(\frac{m^2 + 1}{2}\right)^2.$$

sets of integers related to the two triangles enter into the same mathematical formula. What is important for our problem in this extension of the theory is merely that it shows how the Pythagoreans were not content with a simple theory but, with an extraordinarily inquisitive spirit, adapted this theory to ever more complicated problems.

The second extension of the Pythagorean theory which is important as a preparation for the discovery of incommensurability is the theory of polygonal numbers. This theory, the beginnings of which ancient tradition, starting with Aristotle,⁵² attributes also to the early Pythagoreans, was many centuries later developed by Diophantus to what is now called indeterminate analysis. But for a long time it remained rather sterile from a purely mathematical point of view. This is probably the reason why Euclid disregarded it in the arithmetical section of his elements and why other high ranking mathematicians from the fourth century onwards have done likewise.

Just like the other geometrical theories of the Pythagoreans discussed so far, this theory is concerned with interrelations between numbers and geometrical figures. But in this case the figures are not drawn and formed by straight lines of certain proportionate measures, but are built up from dots. The theory then is concerned with the question from what numbers of dots arranged in a certain order the different polygons can be built.⁵³ It seems perfectly clear from the evidence presented so far that this theory is a natural product of the development of Pythagorean thought. It, therefore, certainly need not, as E. Frank contends,⁵⁴ be dependent on or, in its original form, even be influenced by the physical atomism of Democritus, which has an entirely different origin. Whatever chronological inferences E. Frank draws from this incidental affinity are, therefore, absolutely unwarranted.⁵⁵

Though the 'atomism' of the theory of polygonal numbers seems most remote from the discovery of incommensurability it is here that we come nearest to our problem. All the Pythagorean doctrines discussed so far either are based on or result in a search for numbers, i.e., integers, from which geometrical figures with certain properties can be built up. In the course of these efforts the Pythagoreans can hardly have failed to wonder what numbers might be hidden in certain

⁵² The relevant passages have been collected by Heath, *History* (see note 46), 1, 76 ff.

⁵³ Triangular numbers, for instance, are (1), 3, 6, 10, 15, like this:



⁵⁴ *Op. cit.* (see note 2), p. 52 ff.

⁵⁵ The passage in Aristotle, *De Anima*, 490a, 10 ff., where Aristotle quite correctly says that if one replaces Democritus' material atoms by immaterial dots the result is very similar to the quantitative theory of the Pythagoreans, need certainly not have chronological implications. But even if it had such implications, this would not prove anything, since Aristotle in this passage does not refer to the earliest form of the Pythagorean doctrine.

well-known figures which had not been built up in this way, for instance, the isosceles right-angled triangle, which was of special importance to the Pythagoreans because it was one-half of the square, the latter figure having become a mystical symbol in the Pythagorean community. In the case of the isosceles right-angled triangle, however, it is not possible to express the ratio between its sides in integers. It is perhaps not too far-fetched a speculation if one assumes that the early development of the theory of polygonal numbers was partly due to an attempt to overcome this difficulty by building up the polygons from dots rather than from straight lines. In fact, this seems all the more likely because here again the division of polygons and polygonal numbers into triangles and triangular numbers is one of the main points of the theory. Theon, for instance, points out⁵⁶ that an oblong number can be divided into two equal triangular numbers while a square number is made up of two unequal triangular numbers whose sides differ by one unit, namely,

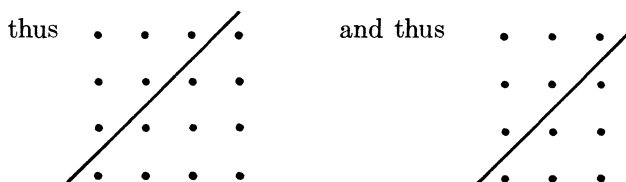


FIG. 1

But however this may be, men of the inquisitive spirit which characterized Hippasus and some of his Pythagorean contemporaries⁵⁷ can hardly have been satisfied with these arithmetical theorems as a substitute for the solution of the real problem, namely, the problem of the ratio between the sides of an isosceles right-angled triangle. This is again confirmed by ancient tradition; for what Plato says about Theodorus' demonstration of the irrationality of the square roots of 3, 5, 6, 7, etc. presupposes, as shown above,⁵⁸ that the irrationality of the square root of 2 had already been proved.

Fortunately, the original demonstration of the irrationality of the square root of 2 has been preserved in an appendix to the tenth book of Euclid's elements;⁵⁹ and that this demonstration is actually, at least in its general outline, the original one is attested by Aristotle. One glance at this demonstration⁶⁰

⁵⁶ *Op. cit.*, p. 41 Hiller.

⁵⁷ See *supra* p. 245 ff. and p. 252.

⁵⁸ See *supra*, p. 244.

⁵⁹ Euclid, *Elementa*, X, Append. 27, p. 408 ff. (This appendix is not included in Heath's translation of Euclid's *Elements*).

⁶⁰ In literal translation this demonstration runs as follows: Let $ABCD$ be a square and AC its diameter. I say that AC will be incommensurable with AB in length.

For let us assume that it is commensurable. I say that it will follow that the same number is at the same time even and odd. It is clear that the square on AC is double the square on AB . Since then (according to our assumption) AC is commensurable with AB , AC will be to AB in the ratio of an integer to an integer. Let them have the ratio $DE:F$ and let DE and F be the smallest numbers which are in this proportion to one another. DE cannot then be the unit. For if DE was the unit and is to F in the same proportion as

shows that it does not presuppose any geometrical knowledge beyond the Pythagorean theorem in its special application to the isosceles right-angled triangle, which, as is well-known, can be 'proved' simply by drawing the figure in such a way that the truth of the theorem in that particular case is immediately visible.⁶¹ Apart from this the demonstration remains in the purely arithmetical field; and since the early Pythagoreans speculated a good deal about odd and even numbers⁶² the demonstration itself cannot have been beyond their reach.⁶³

Yet if this demonstration of the irrationality of the square root of 2 was the only way in which incommensurability can have been discovered, one might still agree that there are good reasons for Frank's and Neugebauer's hesitation to attribute the discovery to the middle of the 5th century. The demonstration requires not only a good deal of abstract thinking, but also of strict logical reasoning. Apart from this, the labored language of the demonstration as given in the appendix in Euclid shows clearly with what difficulties the early Greek mathematicians had to struggle when elaborating a proof of this kind. In fact, this conclusion is all the more cogent because the demonstration, though somewhat more archaic in form than Euclid's own demonstrations, uses a form of presenting the argument in short concise sentences which has no parallel in Greek literature of the fifth century.⁶⁴ If, then, the proof as such, as the combined passages in Plato and Aristotle seem to indicate,⁶⁵ belongs to the fifth century,

AC to AB , AC being greater than AB , DE , the unit, will be greater than the integer F , which is impossible. Hence DE is not the unit, but an integer (greater than the unit). Now since $AC:AB = DE:F$, it follows that also $AC^2:AB^2 = DE^2:F^2$. But $AC^2 = 2AB^2$ and hence $DE^2 = 2F^2$. Hence DE^2 is an even number and therefore DE must also be an even number. For if it was an odd number its square would also be an odd number. For if any number of odd numbers are added to one another so that the number of numbers added is an odd number the result is also an odd number. Hence DE will be an even number. Let then DE be divided into two equal numbers at the point G . Since DE and F are the smallest numbers which are in the same proportion they will be prime to one another. Therefore, since DE is an even number, F will be an odd number. For if it was an even number the number 2 would measure both DE and F , though they are prime to one another, which is impossible. Hence F is not even, but odd. Now since $ED = 2EG$ it follows that $ED^2 = 4EG^2$. But $ED^2 = 2F^2$, and hence $F^2 = 2EG^2$. Therefore F^2 must be an even number, and in consequence F also an even number. But it has also been demonstrated that F must be an odd number, which is impossible. It follows, therefore, that AC cannot be commensurable with AB , which was to be demonstrated.

⁶¹ For examples, see Heath, *The Thirteen Books of Euclid's Elements*, vol. 1, p. 352.

⁶² See, for instance, Aristotle, *Physics*, 203a, 5 ff.; *Metaph.*, 986a, 22 ff.

⁶³ Concerning the arithmetical premises of this demonstration and the probable deficiencies of its original form, see my article on Theodorus of Cyrene in Pauly-Wissowa, *RE*, vol. VA, p. 1817 and 1820 ff.

⁶⁴ In order to illustrate this, one may compare the literal fragments of Zenon of Elea which show a very high degree of abstract thinking and also of close logical reasoning, but at the same time are written in a labored language with long and cumbrous sentences, while Aristotle (in the fourth century) and later writers who give an account of Zenon's theory, reproduce the same arguments in a sequence of very short sentences very similar to those found in the appendix to Euclid.

⁶⁵ Plato, *Theaetetus* p. 147B ff. and Aristotle, *Analytica Priora*, 41a, 26-31 and 50a, 37. See also supra p. 244 and p. 251.

it seems safe to assume that in its original form it was still more laborious. Most significant, however, is the fact that the whole proof, as presented, uses the terms *commensurable* and *incommensurable*, just as Theodorus did in Plato's *Theaetetus*, as something already known. This seems to presuppose that incommensurability was already known when the demonstration was elaborated.

Since the form of the proof as it appears in the appendix to Euclid may not be the original one, the form of the proof in Euclid's appendix may not be sufficient to show with certainty that when the irrationality of the square root of 2 was demonstrated, the discovery of incommensurability as such had already been made, probably in a different mathematical object. But if one considers the further evidence presented above, the suspicion that such was the case becomes very strong. For it is difficult to believe that the early Greek mathematicians should have discovered the incommensurability of the diameter of a square with its side by a process of reasoning which was obviously so laborious for them if they had no previous suspicion that any such thing as incommensurability existed at all. If, on the other hand, they had already discovered the fact in a simpler way, it is perfectly in keeping with what we know of their methods to assume that they at once made every effort to find out whether there were other cases of incommensurability. The isosceles right-angled triangle in that case was the natural first object of their further investigations.

It is at this point that the tradition concerning Hippasus' interest in the dodecahedron, or 'the sphere out of 12 regular pentagons' has to be considered. There can be no doubt that Hippasus was not the author of the mathematical construction of the dodecahedron, as Iamblichus claims in one place.⁶⁶ Quite apart from other considerations, this is proved by the fact that the better tradition implies that this was an achievement of Theaetetus,⁶⁷ who belonged to the second generation after Hippasus. And in another passage, Iamblichus⁶⁸ himself claims merely that Hippasus 'drew' the regular dodecahedron, which is probably the original tradition.

That Hippasus was interested in the dodecahedron and in the dodecahedron as a 'sphere made of 12 regular pentagons' is very likely. For regular dodecahedra occurred in Italy as products of nature in the form of crystals of pyrite.⁶⁹ With the Pythagoreans' interest in geometrical forms these crystals must certainly have attracted their attention and evoked a desire to analyze their form mathematically. In addition, we know that the Pythagoreans used the pentagram, i.e., a regular pentagon with its sides prolonged to the point of intersection,⁷⁰ as a token of recognition. It is absolutely in the character of Hippasus as we now know him that he should have tried to find out about the

⁶⁶ See note 24.

⁶⁷ For details see the article quoted in note 5, pp. 1364 ff.

⁶⁸ See notes 22 and 23.

⁶⁹ See F. Lindemann in *Sitz.-Berichte Akad. München, math.-phys. Klasse*, vol. 26, pp. 725 ff. Lindemann gives also evidence to show that dodecahedra were used as dice in Italy at a very early time, and that the regular dodecahedron seems to have had some religious importance in Etruria. Especially the latter fact, if known to the Pythagoreans, would naturally have increased their interest in the figure.

⁷⁰ See Lucian, *De lapsu in salutando*, 5, and schol. Aristoph. *Nubes*, 609.

numbers and ratios incorporated in the pentagram and regular pentagon. Could it then really be a mere coincidence that the same Hippasus is credited with the discovery of incommensurability and with an interest in the 'sphere consisting of 12 regular pentagons,' and that the regular pentagon is exactly the one geometrical figure in which incommensurability can be most easily proved?

How would the Pythagoreans have gone about it if they wanted to know the ratio between the lengths of two straight lines? Again, the method was an old one, known by craftsmen as a rule of thumb many centuries before the beginning of Greek philosophy and science, namely, the method of mutual subtraction,⁷¹ by which one finds the greatest common measure. It is, of course, impossible to discover incommensurability by applying this method in the way in which craftsmen do it: with measuring sticks or measuring ropes. But if one looks at the pentagram or at a regular pentagon with all its diameters filled in—and we have seen that the Pythagoreans were interested in diameters—the fact that the process of mutual subtraction goes on infinitely, that therefore there is no greatest common measure, and that hence the ratio between diameter and side cannot be expressed in integers however great, is apparent almost at first sight. For one sees at once that the diameters of the pentagon form a new regular pentagon in the centre, that the diameters of this smaller pentagon will again form a regular pentagon, and so on in an infinite process.

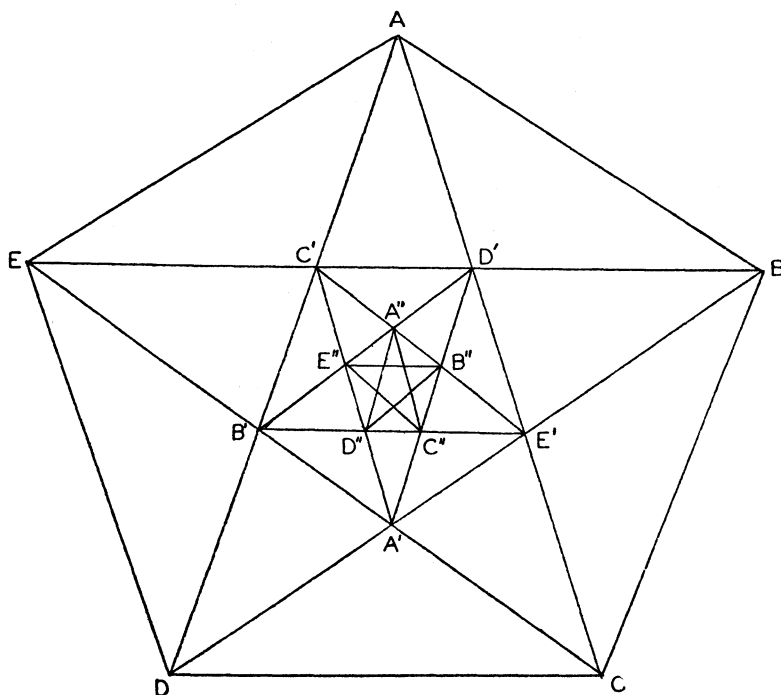


FIG. 2

⁷¹ For evidence to show that the Pythagoreans used this method in mathematical theory, see *infra* p. 258.

It is then also very easy to see that in the pentagons produced in this way $AE = AB'$ and $B'D = B'E'$ and therefore $AD - AE = B'E'$, and likewise $AE = ED' = EA'$ and $B'E' = B'D = B'E$ and therefore $AE - B'E' = B'A'$, and so forth ad infinitum, or, in other words, that the difference between the diameter and the side of the greater pentagon is equal to the diameter of the smaller pentagon, and the difference between the side of the greater pentagon and the diameter of the smaller pentagon is equal to the side of the smaller pentagon, and again the difference between the diameter of the smaller pentagon and its side is equal to the diameter of the next smaller pentagon and so forth in infinitum. Since ever new regular pentagons are produced by the diameters it is then evident that the process of mutual subtraction will go on forever, and that therefore no greatest common measure of the diameter and the side of the regular pentagon can be found.

One may, of course, still ask how the Pythagoreans could prove that $AE = AB'$ and $B'D' = B'E'$, etc. Now Proclus, probably getting his information from Eudemus of Rhodes, states⁷² that Thales was the author of the theorem that in an isosceles triangle the base angles are equal. In connection with this it is important to note that Aristotle⁷³ refers to an archaic proof of this proposition. He does not quote all the steps of this proof, but what he quotes shows that 'mixed angles,' i.e., angles formed by a straight line and the circumference of a circle, were used in the demonstration, and that in all likelihood the proof was based on a rather primitive method of superimposition.⁷⁴ It is clear that with this latter method the converse of the proposition could be proved without difficulty. It follows that the equality of AE with AB' and of $B'D$ with $B'E'$ could be derived from the equality of $\angle AEB'$ with $\angle AB'E$ and of $\angle B'DE'$ with $\angle B'E'D$, if these angles could be proved to be equal respectively.

As to this latter proof, the evidence is somewhat less definite. But Eudemus of Rhodes⁷⁵ attributes to the early Pythagoreans the proof that the sum of the internal angles in any triangle is equal to two right angles. From this theorem the general theorem that in any polygon the sum of the internal angles is equal to $2n - 4$ right angles can very easily be derived, if one divides the polygon into triangles,⁷⁶ and we know⁷⁷ that the Pythagoreans constantly experimented with dividing polygons into triangles. The proposition furthermore that in any polygon the sum of the external angles is equal to four right angles is a mere corollary of the preceding proposition.⁷⁸ On the basis of these propositions, finally,

⁷² *Op. cit.*, p. 250 f. Friedlein.

⁷³ Aristotle, *Analyt. Pr.*, 41 b, 13 ff.

⁷⁴ For details see Heath, *Elements* (see note 45), 1, 253.

⁷⁵ Quoted by Proclus, *op. cit.*, 379 Friedlein.

⁷⁶ The proof is quoted by Proclus, *op. cit.* After the polygon has been divided into triangles, the proposition about the sum of the angles of a triangle being known, the remainder of the proof is a simple addition.

⁷⁷ See *supra*, p. 252, note 49.

⁷⁸ Aristotle refers to this proof as to something very well known in *Analyt. Post.* 99a, 19 ff and 85b, 38 ff.

the equality of the angles figuring in the demonstration suggested above can be very easily shown.

It follows that there is no reason whatever to disbelieve that Hippasus was able to demonstrate the incommensurability of the side with the diameter of a regular pentagon. For what is needed for the proof suggested is nothing but two fundamental geometrical propositions which concern the isosceles triangle and the sum of the angles in any triangle, and in addition the old time-honored method of finding the greatest common measure by mutual subtraction. All the rest is nothing but the simplest addition, subtraction and division. Of the two geometrical propositions, the first had undoubtedly been 'proved' in a very primitive way even before Pythagoras.⁷⁹ The second one was probably also proved in some such way, though we do not know exactly how.⁸⁰ But there can be no doubt whatever that its truth was known long before Hippasus. That the proofs of these theorems as existing in the middle of the fifth century did not come up to the Euclidean conception of a satisfactory proof is not to the point. For the question is not whether Hippasus could give a demonstration which in all its steps would have satisfied Euclid or Hilbert, but whether he was able to find a proof which at the level which mathematical theory had reached in his time was considered absolutely convincing, and as to this there can be no doubt. It is, perhaps, not unnecessary to point out specifically that the demonstration of incommensurability suggested above does not presuppose any geometrical construction in the strictly mathematical sense at all, as long as the Pythagoreans were able to draw a reasonably accurate regular pentagon in some way, and this can hardly be questioned, for a quite beautiful pentagram can be seen on a vase of Aristonophus which belongs to the seventh century B.C. This vase was found at Caere in Italy and is now in a museum in Rome. Neugebauer's argument, therefore, that the discovery of incommensurability could not have been made

⁷⁹ It is an interesting fact that all the theorems which ancient tradition attributes to Thales are either directly concerned with problems of symmetry and 'provable' by superimposition, or of such a kind that the first step of the proof was obviously based on a consideration of symmetry and the second step, which brings the proof to its conclusion, is a simple addition or subtraction. The much discussed Euclidean proof of the first theorem of congruence by superimposition seems, then, the last remnant of a method which once had been widely applied and with which Greek scientific geometry had started.

⁸⁰ The proof attributed to the Pythagoreans by Eudemos seems to presuppose the famous fifth postulate of Euclid. But Aristotle (*An. Pr.*, 65a, 4) indicates that there existed an old mathematical demonstration about parallels and angles which involved a vicious circle. It seems, then, quite possible that the equality of alternate angles on parallels cut by a straight line was at first considered self-evident on the basis of considerations of symmetry, that then a faulty attempt to prove the proposition was made, and that finally Euclid tried to give the whole theory a sound foundation by his famous postulate. In this case the proof of the proposition concerning the sum of the angles of a triangle attributed to the early Pythagoreans by Eudemos may really be very old. But Geminus (in Eutocius' commentary on the *Conica* of Apollonius of Perge, vol. II, 170 of Heiberg's ed. of Apoll.) mentions a still older demonstration in which the proposition was proved first for the equilateral, then for the isosceles, and finally for the scalene triangle.

by Hippasus since Oinopides, who belonged to the succeeding generation, was still concerned with the most 'trivial'⁸¹ mathematical constructions, has no validity.

There is, then, perhaps some justification for the claim that the analysis so far has proved what was promised in the introduction to this paper, namely, that the discovery of incommensurability can have been made in the middle of the fifth century, that the development of the Pythagorean doctrine of numbers as the essence of everything naturally led to this discovery, that ancient tradition contains strong hints as to the way in which the discovery actually was made, and last but not least, that Greek mathematics in that early period may have been very elementary,⁸² but certainly was not trivial. It was not trivial because the Greeks had two peculiarities which the Egyptians and Babylonians obviously lacked. They were very prone to build up sweeping general theories on very scanty evidence. Of this the Pythagorean theory that 'all things are numbers' is a striking example. Yet at the same time they were not content with having such a theory, but made unremitting efforts to verify it in all directions. It was on account of this second peculiarity that they discovered incommensurability in a very early period.

It is perhaps advisable to add a brief survey of the immediate consequences of the discovery of incommensurability for the further development of the theory of proportions. For this will confirm both the opinion concerning the general character of the early scientific investigations of the Greeks and some special suggestions which have been made in the course of the present inquiry.

The discovery of incommensurability must have made an enormous impression in Pythagorean circles because it destroyed with one stroke the belief that everything could be expressed in integers, on which the whole Pythagorean philosophy up to then had been based. This impression is clearly reflected in those legends which say that Hippasus was punished by the gods for having made public his terrible discovery.

But the consequences of the discovery were not confined to the field of philosophical speculation. *Logos* or ratio, as we have seen,⁸³ meant the expression of the essence of a thing by a set of integers. It had been assumed that the essence of anything could be expressed in that way. Now it had been dis-

⁸¹ In my article on Oinopides (see note 43) I have tried to show that Oinopides' mathematical constructions were not 'trivial' either, if viewed in connection with the problems which he tried to solve. But the solution of the present problem is quite independent from the acceptance or rejection of this suggestion.

⁸² In the present article only so much mathematical knowledge has been attributed to the early Greek mathematicians as can be ascribed to them with the greatest approximation to certainty which a historical inquiry can attain. The attempt has then been made to show that *even if* their knowledge did not go beyond this, they nevertheless can have discovered incommensurability and by the nature of their theories and methods were naturally led to this discovery. But this does not imply that their knowledge must necessarily have been as limited and elementary as has been assumed in this paper.

⁸³ See *supra* p. 249/50.

covered that there were things which had no *logos*. When we speak of irrationality or incommensurability we mean merely a special quality of certain magnitudes in their relation to one another, and we speak even of a special class of irrational numbers. But when the Greeks used the term *alogos*, they meant originally, as the term clearly indicates, that there was no *logos* or ratio.

Yet this fact must have been very puzzling. It had been generally assumed that two triangles which were similar, i.e., which had the same ornamental appearance, though differing in size, had the same *logos*, i.e., that they could be expressed by the same set of integers. In fact, this is clearly the original meaning of the term *ho autos logos* (the same *logos*), which we translate by 'proportion.' But two isosceles right-angled triangles had still the same ornamental appearance, and therefore should have had the same *logos*. In fact, it seemed evident that their sides did have the same quantitative relation to one another. Yet they had no *logos*.

The way in which the Greeks amazingly soon after the stunning discovery of incommensurability began to deal with this problem is a much greater proof of their genius for and their tenacity in the pursuit of scientific theory than the discovery of incommensurability itself. For very soon⁸⁴ they began not only to extend the theory of proportion to incommensurables, but also established a criterion by which in certain cases it can be determined whether two pairs of incommensurables (which in the old sense have no *logos* at all) have the same *logos*. The terminological difficulty created by this seeming contradiction in terms is reflected by the fact that for some time the term *alogos* for irrational was replaced by the term *arrhetos* (inexpressable) which is merely another way of expressing what the term *alogos* originally meant. It is also interesting to see how the term *alogos* gradually came back. First the term *rhetos* (rational) is created in contrast to *arrhetos*. Then the term *arrhetos* disappears; and Theaetetus, who developed the theory of irrationality further, reintroduced the term *alogos* but used it only for 'higher' irrationalities, for instance of the form $\sqrt{a}\sqrt{b}$, while he called the simple irrationalities of the form $\sqrt{a}$ *dynamēi monon rhetoī* (literally: rational only in the square). Finally, when *logos* had become a technical term and the incongruity of the statement that two pairs of *alogoi* have the same *logos* was no longer felt, the Greek mathematicians returned to the old terminology and called all irrationals *alogos*.⁸⁵ The fact that Theaetetus, who died in 369 B.C., had already begun to return to the old terminology is a very strong confirmation of the view that the discovery of incommensurability must have been made long before, and that the term *logos* for ratio, from which

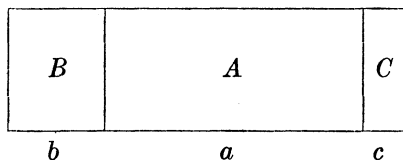
⁸⁴ The famous demonstrations of Hippocrates of Chius, who belonged to the same generation as Theodorus of Cyrene, clearly presuppose that the theory of proportions at his time had already been adapted to incommensurables. See F. Rudio, *Der Bericht des Simplicius über die Quadraturen des Antiphon und des Hippocrates* (Leipzig, Teubner, 1907), and *infra* p. 262.

⁸⁵ For details see my article on Theaitetos (see note 5), p. 1361 f.

alogos is derived, must certainly have been used by the Pythagoreans before the middle of the fifth century.

The extension of the theory of proportion to incommensurables required an entirely new concept of ratio and proportion and a new criterion to determine whether two pairs of magnitudes which are incommensurable with one another have the same *logos*. The early solution of this problem is most ingenious. Instead of making the result of the process of mutual subtraction the criterion of proportionality, namely the two sets of integers determined by measuring two commensurable magnitudes with the greatest common measure found by mutual subtraction, they used the character of the process of mutual subtraction itself as the criterion of proportionality. They established this criterion by giving a new definition of proportionality which made it applicable to commensurables and incommensurables alike. In literal translation this definition says: *magnitudes have the same logos if they have the same mutual subtraction*.⁸⁶ It is interesting to see that in this definition the term *logos* has lost its original meaning. The sense of the definition is, then, that two sets of magnitudes are in proportion if in each case the process of mutual subtraction, even if going on in infinitum, nevertheless can be proved always to go in the same direction.

To show this is especially easy in the case of the diameters and sides of all regular pentagons, since in this case, the diameter being cut in the so-called golden section, it is evident that the process will always go exactly one step in each direction. But if the practical applicability of the new definition had been limited to this case it would have been of little use for the further development of mathematical theory. The most important case in which it is very easy to prove on the basis of the new definition that two pairs of magnitudes are in proportion is the proposition that rectangles and (since parallelograms can very easily be converted into rectangles of the same area) parallelograms of the same altitude are in proportion with their bases.



For it is easy to see that if b can be subtracted 5 times from a , B can also be subtracted 5 times from A , and if the remainder c can be subtracted 8 times from b , so can C from B , and so forth in infinitum.⁸⁷ This proposition is the foundation of the famous theorems of Hippocrates of Chios.

⁸⁶ See Aristotle, *Topica*, 158 b, 32 ff.

⁸⁷ In literal translation the passage in Aristotle runs like this: 'It seems that in mathematical theory some propositions cannot easily be proved on account of the lack of a definition (or: as long as the proper definition is lacking), as for instance the fact that a straight line cutting an area parallel to its side cuts the area and its base in the same proportion (literally: similarly). But as soon as the definition has been found (the truth of)

Yet the usefulness of this new definition for the demonstration of geometrical propositions is still restricted to a rather limited field. The further expansion of the theory of proportions was made possible through the new and even more ingenious definition which was invented by Eudoxus of Cnidus and which runs as follows: *Magnitudes are said to be in the same logos, the first to the second and the third to the fourth, when, if any equimultiples whatever be taken of the first and the third and any equimultiples whatever of the second and the fourth, the former equimultiples alike exceed, are alike equal to, or alike fall short of, the latter equimultiples respectively taken in corresponding order.*⁸⁸

If one compares the discovery of incommensurability (assuming that it was made in the manner suggested above) with these extensions of the theory of proportions, it seems evident that the discovery of incommensurability was by far the easiest step. For once the Pythagoreans became interested in the pentagram and the regular pentagon, anyone might be struck by the fact that the diameters will always form a new regular pentagon in the centre; and if, furthermore, the general Pythagorean doctrine required the determination of the 'logos' of diameters and sides, all the rest followed very easily. Of the two new definitions of proportion, that of Eudoxus is perhaps the most ingenious inasmuch as it required the greatest effort in abstraction. But the older definition of proportion, by which the original concept of *logos* was replaced by a new one, which made it possible to apply the theory of proportion to incommensurables, was certainly by far the most important step in the development.

the proposition is at once manifest. For the areas and their bases have the same mutual subtraction; and this is the definition of proportion (*ho autos logos*)! It seems strange that O. Becker in an article published in 1933 (Quellen und Studien zur Geschichte der Mathematik, Abteilung B, vol. 2, pp. 311 ff.) was the first to give the correct interpretation of the expression 'have the same mutual subtraction' in the passage quoted, while Heath, for instance, still called the definition 'metaphysical,' and said that it was difficult to see how any mathematical facts could be derived from the definition.

O. Becker in a most excellent analysis has also proved that the greater part of the 10th book of Euclid's *Elements* which contains a very elaborate theory of irrationals can be proved by means of this definition, while some of the propositions specifically ascribed to Eudoxus cannot be proved on the basis of this definition and presuppose the new definition Euclid V, def. 5. Since the most important propositions of the 10th book of Euclid are ascribed to Theaetetus, Becker drew the obvious conclusion that Theaetetus worked with the old definition quoted by Aristotle.

This is undoubtedly correct. But his interpretation of the rest of the passage in Aristotle seems to require a slight modification. Though Becker has seen that the 'areas' in Aristotle are in fact parallelograms, or rather, rectangles, he believes that the proposition about rectangles was from the beginning proved by an elaborate process of reasoning, which required that several other propositions had been proved first (*op. cit.*, p. 322). This is certainly not what Aristotle indicates, when he says that the truth of the proposition is manifest as soon as the definition is found. For this expression shows clearly that originally a direct application of the definition to the figure given above was considered sufficient proof of the proposition. This is an interesting parallel to the first demonstration of incommensurability in the pentagon as suggested above.

⁸⁸ See Euclid, *Elements*, V, def. 5 and *Scholia in Euclid. Element. V. 3* (Euclidis Opera. ed. I. L. Heiberg, vol. V, Leipzig, Teubner, 1889, p. 282.)

The fact that the development from the discovery of incommensurability to Eudoxus took this course has also chronological implications. Eudoxus was born in 400 and died in 347 B.C.⁸⁹ His last work, which he left uncompleted, was a large geographical work in many volumes.⁹⁰ He was also the author of the method of exhaustion, of the theorem that the volume of a cone is one-third of the volume of a cylinder with the same base and altitude,⁹¹ and undoubtedly of other stereometric theorems which must have been used in the proof of that proposition. All this would have been impossible without the new definition of proportion invented by Eudoxus. He therefore must have created this definition comparatively early in his life, hardly later than 370. It would, then, be little less than miraculous if the first discovery of incommensurability had been made 'in the time of Archytas' who, since he was head of the government of Tarentum in 362, can hardly have been born before 430. It is certainly much easier to believe that the discovery was made in the middle of the fifth century, as ancient tradition claims.

But the solution of the chronological problem is of importance mainly because it makes it possible to acquire a deeper insight into the way in which the Greeks laid the foundations of the science of mathematics and into the special qualities which enabled them within an amazingly short time to make a discovery which their Babylonian and Egyptian predecessors with all their highly developed and complicated methods had not made in many centuries of mathematical studies.

COLUMBIA UNIVERSITY

⁸⁹ See K. von Fritz, 'Die Lebenszeit des Eudoxos von Knidos' in *Philologus*, 85 (1930). p. 478 ff.

⁹⁰ See F. Gisinger, *Die Erdbeschreibung des Eudoxos von Knidos*, p. 5 ff.

⁹¹ See Archimedes, *Ep. ad Dositheum in De sphaera et Cylindro*, p. 4 Heiberg and *Ad Eratosth. Methodus*, p. 430 Heiberg.